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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#2

Application of: Michael J. Branson et al. : Date: February 7, 2002
Group Art Unit: Unassigned : IBM Corporation
Examiner: Unassigned : Intellectual Property Law
Serial No.: 10/051,405 : Dept. 917, Bldg. 006-1
Filed: January 18, 2002 : 3605 Highway 52 North
Title: APPARATUS AND METHOD FOR : Rochester, MN 55901
SYNCHRONIZING SOFTWARE
BETWEEN COMPUTERS

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Assistant Commissioner for Patents
Washington, D.C. 20231

RECEIVED

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Technology Center 2100

Sir:

Applicants request that the information listed on the attached Form PTO-1449 be considered by the Office during the pendency of the above entitled application, pursuant to 37 C.F.R. §1.97. In accordance with 37 C.F.R. §1.97(h), the filing of this Information Disclosure Statement shall not constitute an admission that any information cited therein is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b). In the interest of full and complete disclosure to the Office, some or all of the art cited herein may not be considered by Applicant(s) or the Undersigned to be material under the new standard of materiality defined in 37 C.F.R. §1.56(b), enacted March 16, 1992, but may be material under the old standard of materiality defined in 37 C.F.R. §1.56(a), last amended on November 28, 1988, or may merely be technical background which may be of interest to the Examiner. In accordance with 37 C.F.R. §1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

Serial No. 10/051,405
Docket No. ROC919980274US2

This Information Disclosure Statement is being filed under 37 C.F.R. §1.97(b)(1) within three months of the filing date of the present application. Accordingly no filing fee is required.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on

February 7, 2002

(Date of Deposit)

Steven W. Roth

Respectfully submitted,

MICHAEL J. BRANSON ET AL.

By 
Steven W. Roth

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Rochester, Minnesota 55901-7829
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FORM PTO-1449 (Modified)

LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S
INFORMATION
DISCLOSURE STATEMENT

(Use several sheets if necessary)

ATTY. DOCKET NO.
ROC919980274US2SERIAL NO.
10/051,405APPLICANT:
Michael J. Branson et al.FILING DATE:
January 18, 2002GROUP:
Unassigned

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINER INITIALS	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE (IF APPRO.)
AA	4 5 5 8 4 1 3	12/10/85	Schmidt et al.	364	300	
AB	5 4 1 0 7 0 3	04/25/95	Nilsson et al.	395	700	
AC	5 7 5 8 3 4 0	05/26/98	Nail	707	9	
AD	5 7 6 1 5 0 4	06/02/98	Corrigan et al.	395	652	
AE	5 8 6 7 7 1 4	02/02/99	Todd et al.	395	712	
AF	6 1 3 8 2 7 4	10/24/00	Huang et al.	717	11	
AG	6 2 0 5 5 7 9	03/20/01	Southgate	717	11	
AH						
AI						
AJ						
AK						

FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION
					YES NO
AL					
AM					
AN					
AO					
AP					

OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

AR	Graunke et al., "Synchronization Algorithms for Shared-Memory Multiprocessors," IEEE - Computer, pp. 60-69, 1990.
AS	Hsiung, "Timing Coverification of Concurrent Embedded Real-Time Systems," ACM CODES, pp. 110-114, 1999.
AT	Agarwal et al., "Adaptive Backoff Synchronization Techniques," ACM, pp. 396-406, 1989.

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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BA						
BB						
BC						
BD						
BE						
BF						
BG						
BH						
BI						
BJ						
BK						

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION	
						YES	NO
BL							
BM							
BN							
BO							
BP							

OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

BR	Laventhal, "A Constructive Approach to Reliable Synchronization Code," IEEE - CHI, pp. 194-202, 1979.
BS	
BT	

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.